

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Guideline

Vaccine uptake in the general population

Draft for consultation, November 2021

This guideline covers vaccine uptake for everyone who is eligible for vaccines that are provided on the [NHS routine UK immunisation schedule](#), apart from flu vaccination, which is covered by [NICE's guideline on flu vaccination](#). It supports the aims of the [NHS Long Term Plan](#), which includes actions to improve immunisation coverage by GPs (including the introduction of the new [GP contract](#)) and to support a narrowing of health inequalities.

This guideline will update and replace NICE guideline PH21 (published September 2009).

This guideline does not cover:

- Areas covered by [NICE's guideline on tuberculosis](#).
- Areas covered by [NICE's guideline on flu vaccination: increasing uptake](#).
- Travel vaccines.
- Selective immunisation programmes, as defined in the [Green Book](#).
- Seasonal vaccinations, for example flu vaccination.
- COVID-19 vaccinations.
- Catch-up campaigns alongside the introduction of a new vaccine.

Who is it for?

- NHS providers including general practice, pharmacy and school age immunisation providers social care providers
- prison and secure setting employers
- independent providers of NHS and social care funded services

- child health information services and other administrative services that support immunisation services
- community or voluntary sector organisations
- local authorities
- health policy makers
- NHS commissioners of clinical immunisation services
- education and training organisations
- occupational health services
- health information providers
- people using services, their families and carers
- the general public including people who are eligible for vaccination on the routine schedule, their families and carers.

What does it include?

- the recommendations
- recommendations for research
- rationale and impact sections that explain why the committee made the recommendations and how they might affect practice and services
- the guideline context.

Information about how the guideline was developed is on the [guideline's webpage](#). This includes the evidence reviews, the scope, details of the committee and any declarations of interest.

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1 Recommendations

People have the right to be involved in discussions and make informed decisions about their care, as described in [NICE's information on making decisions about your care](#).

[Making decisions using NICE guidelines](#) explains how we use words to show the strength (or certainty) of our recommendations, and has information about prescribing medicines (including off-label use), professional guidelines, standards and laws (including on consent and mental capacity), and safeguarding.

2 1.1 Service organisation

3 Named vaccination leads

4 1.1.1 Ensure that each organisation that provides or organises vaccination
5 services has a named vaccination lead with responsibility (as relevant) for
6 ensuring that:

- 7 • vaccination records are validated and updated
- 8 • people who are eligible for vaccination are identified
- 9 • invitations and reminders are sent to people eligible for vaccination
- 10 • vaccines are administered and recorded
- 11 • there is coordination between providers and other services involved in
- 12 organising vaccinations.

13 1.1.2 Nominate a named person in each primary care provider to be
14 responsible for identifying people who are [housebound](#) and need
15 vaccination.

16 1.1.3 Social care providers and providers of other non-healthcare services (who
17 are asked to identify people eligible for vaccination opportunistically [see
18 [recommendation 1.2.6](#)]) should identify a named lead responsible for the
19 organisation's approach to identifying people who are eligible for
20 vaccination.

- 1 1.1.4 For secondary and tertiary care providers who do not provide
2 vaccinations, ensure that there is a named vaccination lead who can
3 identify people eligible for vaccination and signpost them to relevant
4 services.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on named vaccination leads](#).

Full details of the evidence and the committee's discussion are in:

- [evidence review A: identification and recording of vaccination eligibility and status](#)
- [evidence review D: interventions to increase the uptake of routine vaccines by improving access](#).

5 **Designing and raising awareness of payment schemes**

- 6 These recommendations are for NHS regional and local commissioners of NHS
7 vaccination services.

- 8 1.1.5 Raise awareness among healthcare practitioners and providers:

- 9 • about payments and funding streams to support the delivery of
10 vaccination services, including those for populations with low
11 vaccination rates
- 12 • that submission of information about vaccination uptake directly affects
13 any linked organisational incentive payments.

- 14 1.1.6 When designing incentive schemes for providers, take into account that
15 using incentives to prioritise certain vaccinations could have unintended
16 consequences on the uptake of other vaccinations.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on designing and raising awareness of payment schemes](#).

Full details of the evidence and the committee's discussion are in [evidence review G: interventions to increase the uptake of routine vaccines by improving infrastructure](#).

Making vaccination services accessible and tailoring to local needs

1.1.7 NHS commissioners and NHS providers should ensure that they identify local population needs and barriers to vaccine uptake.

1.1.8 In areas with low vaccine uptake, commissioners and providers should consider introducing targeted interventions to:

- overcome identified local barriers to vaccination
- address identified inequalities in vaccine uptake between different population groups.

1.1.9 Commissioners and providers should ensure that they:

- Include input from people in the local community about the accessibility of services (see the [section on involving people in peer and lay roles to represent local needs and priorities in NICE's guideline on community engagement](#)).
- Tailor service opening hours and locations for vaccinations to meet local needs. This should include providing multiple opportunities for people eligible for vaccination to have their vaccinations at a time and location convenient to them. Locations such as community pharmacies, clinics people attend regularly, or GP practices could be used.

1.1.10 Consider using sites outside healthcare settings as settings for vaccination clinics, such as mobile vaccination units or community or faith centres that provide a more family friendly environment, if this would address specific local barriers to vaccine uptake.

1.1.11 Consider providing vaccination services during extended hours and extended access appointments in evenings and weekends for people who may find it difficult to attend at other times. These services could be in

1 primary care or community pharmacies, or be provided by a centralised
2 service in each local area. If possible, provide these as part of existing
3 out-of-hours services.

4 1.1.12 Commissioners and providers should co-ordinate vaccination services
5 between providers to minimise wastage where vaccine supply is limited.

6 1.1.13 GP practices should ensure that contractual obligations and best practice
7 on patient registration is followed (for example, not requiring immigration
8 status or proof of address).

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on making vaccination services accessible and tailoring to local needs](#).

Full details of the evidence and the committee's discussion are in [evidence review D: interventions to increase the uptake of routine vaccines by improving access](#).

9 **Audit and feedback**

10 1.1.14 NHS commissioners should ensure that there is a coordinated system in
11 place for a quarterly cycle of feedback and audits that can be compared
12 against similar providers at a local and national level.

13 1.1.15 Providers should use available data to review current and past activity to
14 help with continuous improvement.

15 1.1.16 To help increase vaccine uptake in the future, vaccine services should:

- 16 • evaluate initiatives for improving the uptake of routine or COVID-19
- 17 vaccinations carried out during the SARS-CoV-2 pandemic
- 18 • identify any that could be used to increase the uptake of routine
- 19 vaccination programmes.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on audit and feedback](#).

Full details of the evidence and the committee's discussion are in:

- [evidence review G: interventions to increase the uptake of routine vaccines by improving infrastructure](#)
- [evidence review H: multicomponent interventions to increase uptake of routine vaccines](#)
- [evidence review K: COVID call for evidence](#).

1 Training and education for health and social care practitioners

1.1.17 Ensure that health and social care practitioners who are in contact with people eligible for vaccination, but do not administer vaccines, are educated about vaccination. These could include:

- Practitioners working in primary care settings, including GP practices, optometry, NHS dental practices and community pharmacies.
- Secondary care practitioners, for example in clinics for children with chronic conditions or wards such as oncology or antenatal.
- Social care practitioners who may have contact with carers and other eligible groups, such as people with learning disabilities. This may include contact during home visits, individual needs assessments and carers' assessments.

1.1.18 Ensure that education for health and social care practitioners who are in contact with people eligible for vaccination, but do not administer vaccines, includes:

- an understanding of who is eligible for vaccination on the NHS routine UK immunisation schedule
- awareness of barriers to vaccination
- benefits and risks of vaccination
- where to signpost people for further information and vaccination.

1.1.19 Health and social care practitioners who administer vaccines should be given the time, resources and support to:

- Undertake mandatory training before administering vaccines (see [Public Health England's national minimum standards and core curriculum for immunisation training for registered healthcare practitioners](#)).
- Include training on vaccination as part of their continuing professional development plan, including how to have effective conversations about vaccination.
- Ask people for any questions and concerns they may have about vaccination and give them personalised responses (or signpost people to relevant sources).
- Provide tailored information on the risks and benefits of vaccination.
- Offer and administer vaccines.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on training and education for health and social care practitioners](#).

Full details of the evidence and the committee's discussion are in:

- [evidence review E: education interventions to increase the uptake of routine vaccines](#)
- [evidence review H: multicomponent interventions to increase uptake of routine vaccines](#).

Appointments and consultations

1.1.20 Providers should ensure that there is sufficient time in an appointment or consultation to:

- allow the clinician and individual, [family member or carer](#) (as appropriate) to have a discussion where any concerns can be identified and addressed
- gain informed consent

- administer vaccines
- complete documentation.

See also the [NICE guideline on shared decision making](#).

For a short explanation of why the committee made this recommendation, see the [rationale and impact section on appointments and consultations](#).

Full details of the evidence and the committee's discussion are in [evidence review E: education interventions to increase the uptake of routine vaccines](#).

1.2 Identifying eligibility, giving vaccinations and recording vaccination status

NICE has produced a [visual summary on identifying people eligible for vaccination and opportunistic vaccination](#).

Keeping records up to date

1.2.1 GP practices should ensure that their vaccination records are updated within 2 weeks in response to new information about a person's vaccination status.

1.2.2 GP practices should ensure that an up-to-date template is used for recording vaccinations.

1.2.3 GP practices should validate their vaccination records at least monthly against data sources received. Check registered populations and vaccine eligibility and status, investigate any discrepancies and correct the record accordingly.

1.2.4 Child health information services (CHIS) should give GP practices a monthly update on children who are not up to date with their vaccinations.

1.2.5 GP practices should ensure that they have up-to-date medical records, phone numbers and addresses for people who are eligible for vaccination, or their [family members or carers](#) (if appropriate). Include the person's

- 1 preferred methods of contact (such as letters, texts, emails or phone calls)
2 and whether there are additional literacy issues or language needs.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on keeping records up to date](#).

Full details of the evidence and the committee's discussion are in [evidence review A: identification and recording of vaccination eligibility and status](#).

3 **Identifying people eligible for vaccination and opportunistic vaccination**

- 4 1.2.6 Use every opportunity to identify people eligible for vaccination. This could
5 include:

- 6 • at registration in general practice
- 7 • during health and developmental reviews as part of the healthy child
8 programme
- 9 • during the annual learning disability health check for people with
10 learning disabilities
- 11 • when making contact with people in healthcare settings, community
12 health clinics, sexual health services or drug and alcohol services
13 (including hospitals, emergency departments, inpatient services,
14 rehabilitation services and general practice)
- 15 • when making contact with women who have a newly confirmed
16 pregnancy, and at antenatal and postnatal reviews
- 17 • on admission to day care, nurseries, schools, special needs schools,
18 pupil referral units, and further and higher education.
- 19 • on admission to care homes
- 20 • when people visit community pharmacies for health advice, a
21 medicines use review or a new medicine service, or to collect
22 prescriptions
- 23 • home visits for healthcare or social care
- 24 • any health service contact with people who are homeless
- 25 • when new migrants, including asylum seekers, arrive in the country

- 1 • within 7 days of arrival in prisons and young offender institutions, during
 - 2 any contact with healthcare services in these places, and when people
 - 3 leave
 - 4 • as part of a looked-after child or young person's health plan, and during
 - 5 initial health assessments, and annual and statutory reviews (see also
 - 6 [NICE's guideline on looked-after children and young people](#))
 - 7 • any contact with home-educated children
 - 8 • when people start a job and during subsequent occupational health
 - 9 checks for all practitioners who work on site in a clinical setting even if
 - 10 their role is not healthcare related.
- 11 1.2.7 Offer people (or their family members and carers, if appropriate) access to
- 12 online systems or apps to allow them to view and check their NHS
- 13 vaccination records (or those of their child or the person they care for).
- 14 1.2.8 Providers of online systems or apps should ensure that people
- 15 automatically have access to their vaccination status as part of their
- 16 electronic records as the default option.
- 17 1.2.9 Use the NHS summary care record, or any other available vaccination
- 18 records (including records held by the person), to opportunistically identify
- 19 people who are eligible for vaccination.
- 20 1.2.10 Unless a person has a documented (or reliable verbal) vaccine history,
- 21 assume that they are not immunised, and plan a full course of
- 22 immunisations (see [Public Health England guidance on vaccination of](#)
- 23 [individuals with uncertain or incomplete immunisation status](#)).
- 24 1.2.11 GP practices should ensure that there is a mechanism in place to check
- 25 the vaccination status of people registered as temporary residents and
- 26 offer any vaccinations needed.
- 27 1.2.12 Providers should routinely use prompts and reminders from electronic
- 28 medical records to opportunistically identify people who are eligible for
- 29 vaccination.

1.2.13 Midwives should offer vaccination to [pregnant women](#) during routine antenatal visits, as recommended by the [Green book and the NHS routine UK immunisation schedule](#). If the midwife cannot administer the vaccine, they should signpost women to vaccination services, drop-in clinics or their GP practice.

1.2.14 When people eligible for vaccination have been identified opportunistically:

- Healthcare professionals should:
 - if possible, discuss any outstanding vaccinations with them (or their family members or carers, if appropriate) and offer vaccination immediately
 - otherwise, encourage them to book an appointment to discuss the vaccinations or an appointment for vaccination.
- Non-healthcare professionals should signpost them to vaccination services.

See also recommendation 1.2.15.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on identifying people eligible for vaccination and opportunistic vaccination](#).

Full details of the evidence and the committee's discussion are in:

- [evidence review A: identification and recording of vaccination eligibility and status](#)
- [evidence review C: reminders interventions to increase the uptake of routine vaccines](#)
- [evidence review D: interventions to increase the uptake of routine vaccines by improving access](#)
- [evidence review E: education interventions to increase the uptake of routine vaccines](#)

- [evidence review H: multicomponent interventions to increase uptake of routine vaccines.](#)

Recording vaccination offers and administration

1.2.15 When offering a vaccination, record in the GP record or other medical record whether it was accepted or declined or there was no response.

1.2.16 When administering a vaccine, ensure that information is recorded accurately and consistently, regardless of where the vaccine is administered, and includes:

- details of consent to the vaccination (including if someone else has consented on the person's behalf, and that person's relationship to them)
- the dose, batch number, expiry date and title of the vaccine
- the date, route and site of administration
- any reported adverse reactions
- whether the vaccine was administered under Patient Specific Directions (PSDs) or Patient Group Directions (PGDs).

1.2.17 Providers should ensure that clinical and patient-held records (including records held on behalf of children) are updated at the time of the vaccination. If the patient-held record is not available at the appointment, give the person a printed record of the vaccination and ensure that the patient-held record is updated at a subsequent healthcare appointment.

1.2.18 Providers should use electronic health record templates with compulsory data fields to support accurate recording of vaccination status (see recommendations 1.2.15 and 1.2.16).

1.2.19 Providers should ensure that vaccinations are reported promptly (within 5 working days, or in line with required standards if shorter) to GP practices and child health information service (if relevant).

1 1.2.20 Child health information services should send details of vaccinations
2 administered outside of the GP practice to GP practices within 2 weeks or
3 as specified in the child health information services contract if shorter.

4 1.2.21 Providers should ensure that the information they provide to GP practices
5 and child health information services is clear and in a readily accessible
6 format that minimises the need for manual re-entry of data.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on recording vaccination offers and administration](#).

Full details of the evidence and the committee's discussion are in [evidence review A: identification and recording of vaccination eligibility and status](#).

7 **1.3 Invitations, reminders and escalation of contact**

8 1.3.1 NHS England public health commissioning teams and screening and
9 immunisation teams should ensure that there is a coordinated system in
10 place at the local level for providers to send out invitations and reminders.

11 1.3.2 If possible, ensure that the information, invitation and any subsequent
12 reminders are given in a format and language appropriate for the person
13 and their [family members or carers](#) (as appropriate).

14 1.3.3 Ensure that the information, invitation and any subsequent reminders
15 meet the person's communication needs (see [NHS England's Accessible
16 Information Standard](#)). For more guidance on giving people information
17 and discussing their preferences, see [NICE's guidelines on patient
18 experience in adult NHS services](#) and [shared decision making](#).

19 1.3.4 Give people who have come from outside the UK:

- 20 • details of the NHS vaccine schedule, how it is delivered, where and by
21 whom if they:
 - 22 – have started vaccinations before arrival and not completed them **or**
 - 23 – are eligible for vaccination.

- help to access healthcare, if needed.

Be aware that expectations of who delivers vaccine services may differ by cultural background.

1.3.5 If people need to provide consent for vaccination but need additional support with decision making (such as people with learning disabilities) or if they may lack mental capacity, follow the [recommendations on supporting decision making in NICE's guideline on decision making and mental capacity](#).

1.3.6 Consider sending invitations and reminders for different vaccinations together (for example, the pneumococcal vaccine with the flu vaccine).

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on invitations, reminders and escalation of contact](#).

Full details of the evidence and the committee's discussion are in:

- [evidence review E: education interventions to increase the uptake of routine vaccines](#)
- [evidence review J: acceptability and effectiveness of interventions to increase routine vaccine uptake](#).

Vaccinations for babies, infants and preschool-aged children, and adults

NICE has produced the following visual summaries:

- [Visual summary on vaccinations for young children and older people: invitations, reminders and escalation of contact](#).
- [Visual summary on vaccinations for pregnant women: invitations, reminders and escalation of contact](#).

Initial invitations

1.3.7 Invite people who are eligible for vaccination or their family members or carers (as appropriate) to book an appointment or attend an open access

clinic. Do this opportunistically during consultations if possible, or by letter, email, phone call or text. Use the person's preferred method of communication for invitations if possible.

1.3.8 Practitioners working in maternity services and other healthcare professionals who have contact with [pregnant women](#) should ensure that pregnant women are invited for vaccination or signposted to vaccination services or drop-in clinics.

1.3.9 Ensure that people who live in care homes or residential settings, or are [housebound](#) (or their family members or carers, as appropriate) know how to get home visits for vaccination if they are unable to attend vaccination clinics or other settings where vaccinations are available. See also recommendation 1.3.5 and [NICE's guideline on managing medicines in care homes](#).

1.3.10 Consider sending the vaccination invitation and any subsequent reminders from a healthcare professional or service that is known to the person or their family members or carers, such as a school, GP practice, doctor, nurse, midwife or health visitor.

1.3.11 Ensure that the vaccination invitation contains:

- The vaccines being offered (named in full) and the targeted diseases.
- A statement that the NHS and the relevant provider (edit to specify the type of provider) recommends the vaccination.
- Details on contacting a healthcare professional (for example, practice nurse, GP, school nurse or pharmacist) to discuss any concerns the person (or their family members or carers) might have.
- Instructions for how to book an appointment at a vaccination clinic, if relevant, or where and when drop-in clinics are held. If possible, include options for online booking.
- A reminder to bring any relevant patient-held records for updating.

1.3.12 If space allows, include the following in the vaccination invitation or provide links:

- 1 • Information on the vaccines, including:
 - 2 – the potential severity of the targeted diseases
 - 3 – the risks and benefits of vaccination, including individual benefits
 - 4 (including to the baby for maternal pertussis vaccination) and
 - 5 population benefits (protecting other people in their community)
 - 6 – if relevant, the importance of having all doses of a vaccination
 - 7 course
 - 8 – if relevant, why some vaccines are given at specific ages (for
 - 9 example, the HPV [human papillomavirus] vaccine).
- 10 • Instructions for accessing additional videos and information (including
- 11 interactive information and decision tools) from trusted sources such as
- 12 the [Oxford University's Vaccine Knowledge Project](#), [NHS England](#) and
- 13 the [World Health Organization](#). Include hyperlinks or QR codes if
- 14 possible.
- 15 • Information about what to expect at the appointment.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on initial invitations](#).

Full details of the evidence and the committee's discussion are in:

- [evidence review C: reminders interventions to increase the uptake of routine vaccines](#)
- [evidence review E: education interventions to increase the uptake of routine vaccines](#)
- [evidence review H: multicomponent interventions to increase uptake of routine vaccines](#).

16 **Reminders and escalation of contact**

- 17 1.3.13 Providers (such as GP practices) should identify people who do not
- 18 respond to invitations or attend clinics, vaccination appointments or other
- 19 settings where vaccinations are available and send a reminder. (See also
- 20 recommendation 1.3.10.) Confirm that the person has received the
- 21 reminder.

- 1 1.3.14 At a pregnant woman's first appointment after the 20-week scan,
2 antenatal care providers should check whether they have been offered
3 and accepted vaccination against pertussis in this pregnancy. If not,
4 ensure they receive offers of vaccination or reminders (as relevant) at
5 subsequent antenatal appointments or during any contact with their GP or
6 any other healthcare provider.
- 7 1.3.15 Talk to parents or carers (as appropriate) of children aged 5 or under who
8 have not responded to a reminder if a vaccination delay is approaching:
- 9 • 1 month for babies
10 • 2 months for toddlers
11 • 3 months for preschool boosters.
- 12
13 Explore with them the reasons for their lack of response and try to
14 address any issues they raise.
- 15 1.3.16 For pregnant women and [older people](#) who do not respond to reminders,
16 consider more direct contact such as a phone call. Explore with them the
17 reasons for their lack of response and try to address any issues they
18 raise.
- 19 1.3.17 Consider a multidisciplinary approach to address any issues raised in
20 recommendations 1.3.15 and 1.3.16, involving other relevant healthcare
21 professionals such as health visitors, social workers or key workers, while
22 respecting the person's decision if they refuse vaccination.
- 23 1.3.18 Consider home visits for people who have difficulty travelling to
24 vaccination services. Discuss immunisation and offer them or their
25 children (as relevant) vaccinations there and then (or arrange a
26 convenient time in the future).
- 27 1.3.19 If someone declines an offer of vaccination, record this with the reason
28 why and make sure they know how to get a vaccination at a later date if
29 they change their mind.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on reminders and escalation of contact](#).

Full details of the evidence and the committee's discussion are in:

- [evidence review C: reminders interventions to increase the uptake of routine vaccines](#)
- [evidence review D: interventions to increase the uptake of routine vaccines by improving access](#)
- [evidence review H: multicomponent interventions to increase uptake of routine vaccines](#).

1 People who are not registered with a GP practice

1.3.20 Commissioners should consider involving local authorities, health visitors or the community or voluntary sector to ensure that people who are not registered with a GP practice are identified and have opportunities to access relevant vaccinations.

1.3.21 Commissioners should ensure that people who are not registered with a GP practice are aware that they are eligible for NHS vaccinations, and where and how to access them.

1.3.22 Child health information services should send invitations to parents or carers (as appropriate) of children who are eligible for vaccination but are not registered with a GP practice or, depending on local arrangements, they could supply this information to the relevant people to send out invitations. This might include children from Traveller, Gypsy and Roma communities, newly arrived immigrants or asylum seekers.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on people who are not registered with a GP practice](#).

Full details of the evidence and the committee's discussion are in [evidence review C: reminders interventions to increase the uptake of routine vaccines](#).

Vaccinations for school-aged children and young people

1.3.23 When administering vaccinations to secondary school-aged children and young people, do this in schools if possible.

Routine vaccinations at school

NICE has produced a [visual summary on vaccinations for school-based children and young people: invitations, reminders and escalation of contact](#).

1.3.24 School age immunisation providers and schools should work together to organise and carry out vaccinations for secondary school-aged children and young people.

1.3.25 Ensure that schools are involved in sending invitations (including consent forms) for vaccinations on behalf of the providers to pupils who attend school. Make the format of the invitation accessible to parents and secondary school-aged children and young people.

1.3.26 Providers should ensure that young people and their parents or carers (as appropriate) have reliable information about vaccines that covers risks and benefits to help them to make informed decisions. The information should include who can consent to vaccination ([Gillick competence](#)) as well as the information listed in [recommendations 1.3.11 and 1.3.12](#) (as appropriate). (See also the [NICE guideline on babies, children and young people's experience of healthcare](#).)

1.3.27 Providers and schools should work together to ensure that school-based education about vaccines is available in an age-appropriate format to children and young people to increase their understanding about vaccinations.

1.3.28 Providers should offer incentives, such as a ticket for a prize draw, that encourage the return of consent forms.

- 1 1.3.29 If a completed consent form is not returned, send a reminder.
- 2 1.3.30 Phone the child or young person's parents or carers (as appropriate) to
3 ask for verbal consent if they have not responded by the time preparations
4 are being made for vaccination day. If contact cannot be made, involve
5 other health and social care providers who may be involved with the
6 family to help gain consent.
- 7 1.3.31 Be aware that young people under 16 can give their own consent to
8 vaccination if they are assessed to be Gillick competent.
- 9 1.3.32 School aged immunisation providers should ensure that they have a
10 policy in place to support school aged immunisation teams in assessing
11 Gillick competence. Include guidance on what action to take when a
12 young person's vaccination preference is different from that of their
13 parents or carers.
- 14 1.3.33 Commissioners should ensure that school aged immunisation services
15 offer catch-up vaccination sessions to children and young people who are
16 not up to date with their routine adolescent vaccination schedule. Include
17 an assessment for capacity to consent in the absence of parental consent
18 or if there has been parental refusal, in line with guidance on consent in
19 the [Green book: chapter 2](#) and from professional bodies such as the
20 [General Medical Council's advice on making decisions](#).
- 21 1.3.34 Child health information services should provide information to school
22 nursing teams to help them identify children and young people who are
23 not up to date with their preschool vaccinations.

24 **Children and young people who do not attend mainstream schools**

- 25 1.3.35 Commissioners of vaccination services for school-aged children should
26 ensure that children and young people who do not attend mainstream
27 school are invited for vaccination at another setting.

For a short explanation of why the committee made these recommendations, see the [rationale and impact section on vaccinations for school-aged children and young people](#).

Full details of the evidence and the committee's discussion are in:

- [evidence review C: reminders interventions to increase the uptake of routine vaccines](#)
- [evidence review D: interventions to increase the uptake of routine vaccines by improving access](#)
- [evidence review J: acceptability and effectiveness of interventions to increase routine vaccine uptake](#).

1 **Terms used in this guideline**

2 This section defines terms that have been used in a particular way for this guideline.
3 For other definitions, see the [NICE glossary](#) and the [Think Local, Act Personal Care](#)
4 [and Support Jargon Buster](#).

5 **Family members or carers**

6 People with legal responsibility for decision making for an individual who is eligible
7 for vaccination but cannot make this decision for themselves. These include parents
8 of babies, children and young people and may also include other family members or
9 guardians or carers if they have this responsibility (for example, if they hold a lasting
10 power of attorney in health and welfare for another adult). See the [Green book:](#)
11 [chapter 2 on consent](#) for more details.

12 **Housebound**

13 People who are unable to leave their home environment through physical or
14 psychological illness. The decision about whether someone is classified as
15 housebound should be made according to relevant local or national policies. This
16 terminology is used to maintain consistency with NHS documents and websites.

1 **Older people**

2 Adults who are eligible for routine vaccination on the UK schedule, excluding
3 pregnancy-related vaccinations. At the time of consultation (October 2021), the UK
4 schedule has routine vaccinations for adults who are aged 65 years and over, but
5 this is expected to change in line with the reduction in age of eligibility for the
6 shingles vaccination. Consult the [Green book](#) for information about current age limits
7 and vaccinations for older people.

8 **Pregnant women**

9 Women who are pregnant as well as transgender or non-binary people who are
10 pregnant. This terminology is used to maintain consistency with NHS documents and
11 websites.

12 **Recommendations for research**

13 The guideline committee has made the following recommendations for research.

14 **Key recommendations for research**

15 **1 Increasing vaccination uptake in populations with low uptake**

16 What are the most effective and acceptable interventions to increase uptake in
17 populations or groups with low routine vaccine uptake in the UK?

For a short explanation of why the committee made this recommendation, see the [rationale section on initial invitations](#).

Full details of the evidence and the committee's discussion are in [evidence review B: barriers to, and facilitators for, vaccine uptake](#).

18 **2 Incentives aimed at individuals, family members and carers**

19 What is the effectiveness and acceptability of incentives to increase uptake of routine
20 vaccines?

For a short explanation of why the committee made this recommendation, see the [rationale section on vaccinations for school-aged children and young people](#).

Full details of the evidence and the committee's discussion are in [evidence review G: interventions to increase the uptake of routine vaccines by improving infrastructure](#).

1 **3 Quasi-mandation of vaccinations**

- 2 What is the effectiveness and acceptability of quasi-mandation to increase vaccine
- 3 uptake of routine vaccines?

For a short explanation of why the committee made this recommendation, see the [rationale section on vaccinations for school-aged children and young people](#).

Full details of the evidence and the committee's discussion are in [evidence review G: interventions to increase the uptake of routine vaccines by improving infrastructure](#).

4 **4 Tailoring Immunisation Programmes**

- 5 Is the use of the World Health Organisation 'Tailoring Immunisation Programmes'
- 6 (TIP) approach an effective way of designing interventions to increase vaccine
- 7 uptake in a UK context?

For a short explanation of why the committee made this recommendation, see the [rationale section on vaccinations for school-aged children and young people](#).

Full details of the evidence and the committee's discussion are in [evidence review J: acceptability and effectiveness of interventions to increase routine vaccine uptake](#).

8 **5 Framing content in vaccination invitation letters**

- 9 What is the relative effectiveness and acceptability of different styles of phrasing
- 10 content in a vaccination invitation letter?

For a short explanation of why the committee made this recommendation, see the [rationale section on initial invitations](#).

Full details of the evidence and the committee's discussion are in [evidence review E: education interventions to increase the uptake of routine vaccines](#).

1 **Other recommendations for research**

2 **Increasing vaccination uptake in older people**

- 3 What are the most effective and acceptable interventions to increase routine vaccine
4 uptake in [older people](#)?

For a short explanation of why the committee made this recommendation, see the [rationale section on initial invitations](#).

Full details of the evidence and the committee's discussion are in [evidence review B: barriers to, and facilitators for, vaccine uptake](#).

5 **HPV vaccination for boys**

- 6 What are the most effective and acceptable strategies to increase HPV (human
7 papillomavirus) vaccine uptake in boys?

For a short explanation of why the committee made this recommendation, see the [rationale section on vaccinations for school-aged children and young people](#).

Full details of the evidence and the committee's discussion are in [evidence review B: barriers to, and facilitators for, vaccine uptake](#).

8 **Increasing pertussis vaccination uptake by pregnant women**

- 9 What are the most effective and acceptable interventions to increase pertussis
10 vaccine uptake in pregnant women?

For a short explanation of why the committee made this recommendation, see the [rationale section on initial invitations](#).

Full details of the evidence and the committee's discussion are in [evidence review F: interventions to increase the uptake of routine vaccines for pregnant women](#).

1 Provider incentives

- 2 What is the effectiveness and acceptability of giving incentives to providers to
3 increase immunisation rates in the UK?

For a short explanation of why the committee made this recommendation, see the [rationale on designing and raising awareness of payment schemes](#).

Full details of the evidence and the committee's discussion are in [evidence review G: interventions to increase the uptake of routine vaccines by improving infrastructure](#).

4 School- versus GP-based catch-up campaigns

- 5 What is the effectiveness and acceptability of school-based catch-up vaccination
6 sessions compared with GP-based catch-up campaigns?

For a short explanation of why the committee made this recommendation, see the [rationale section on vaccinations for school-aged children and young people](#).

Full details of the evidence and the committee's discussion are in [evidence review J: acceptability and effectiveness of interventions to increase routine vaccine uptake](#).

7 Incentives for school-based vaccinations

- 8 What levels and types of incentives are effective and acceptable for increasing
9 vaccination uptake in a school-based population?

For a short explanation of why the committee made this recommendation, see the [rationale section on vaccinations for school-aged children and young people](#).

Full details of the evidence and the committee's discussion are in [evidence review J: acceptability and effectiveness of interventions to increase routine vaccine uptake](#).

1 **Rationale and impact**

2 These sections briefly explain why the committee made the recommendations and
3 how they might affect practice.

4 **Named vaccination leads**

5 [Recommendations 1.1.1 to 1.1.4](#)

6 **Why the committee made the recommendations**

7 The committee recognised several key stages in the vaccination process: updating
8 records; identifying people eligible for vaccination and inviting them for vaccination;
9 and administering the vaccines. Based on their experience, the committee agreed
10 that in the absence of a named lead, vaccine-related tasks for each organisation that
11 provides or organises vaccinations may not be prioritised and completed, given the
12 many other conflicting demands on people's time. The named lead would not
13 necessarily carry out these functions themselves but would be responsible for
14 making sure they happened.

15 People who are housebound are less likely to be vaccinated because they cannot
16 attend appointments or vaccination clinics. Having a named person in each GP
17 practice to identify these people will help to ensure that they are vaccinated.

18 The committee were keen to promote opportunistic vaccinations as part of their
19 overall strategy to increase uptake, and for this to take place in a range of settings
20 (see recommendations in the [section on identifying people eligible for vaccination and opportunistic vaccination](#)). They recognised that it was not possible to vaccinate
21 people in some non-healthcare settings, such as during home visits for social care.
22 But these settings do provide opportunities to signpost people to vaccination
23 services and having a named lead should help ensure that there is a strategy in
24 place to do this. Other healthcare settings where vaccines are not routinely
25 administered, such as hospitals or other secondary or tertiary care providers, could
26

also be used for opportunistic identification. In these settings, the named lead could be any suitably trained healthcare professional, such as a nurse, doctor or pharmacist.

How the recommendations might affect practice

These recommendations are not expected to need significant additional resources. It is likely that the named vaccination leads in healthcare settings would be existing members of staff. There are likely to be some small costs for the reallocation and reorganisation of tasks to the named lead in each scenario, but all of the activities should already be part of usual practice, and the benefits of having a named lead to ensure these tasks are carried out is expected to outweigh these costs. Although checking for eligibility for vaccination is not always usual practice in non-healthcare settings, it is unlikely to be a resource-intensive task.

Many GP practices already have a register of people who are housebound that the nominated lead could use. In practices that do not have a register, the lead could identify them by reviewing people who decline vaccination because they cannot attend the surgery and coding them appropriately. This is not expected to have a significant resource impact.

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Designing and raising awareness of payment schemes

[Recommendations 1.1.5 to 1.1.6](#)

Why the committee made the recommendations

Although funding is already available for vaccination programmes, the committee agreed that in their experience, healthcare practitioners and providers are not always aware of all of the funding streams available to them, particularly if they change frequently or are only available for short periods of time. Therefore, it is important for commissioners to raise awareness of these funding options because access to more funding will help providers to develop their vaccination schemes, potentially increasing access to vaccinations. In addition, the committee wanted to raise awareness among healthcare providers about the need to submit data on

vaccination uptake rates to enable them to take advantage of organisational incentives such as those provided by the [Quality and Outcomes Framework](#) for GPs.

There was some evidence that provider incentives could increase the uptake of routine vaccinations. However, this evidence comprised a small number of non-UK based studies. Although organisational incentives for vaccination are currently in use in the UK, they are subject to change, and it is unclear what types and levels of incentives are most effective in the UK. The committee therefore included a [research recommendation on provider incentives](#).

The committee expressed concern that targets for some vaccinations may inadvertently result in those vaccinations being prioritised over other, non-targeted, vaccines. It is therefore important that commissioners consider the potential for unintended consequences when designing incentive schemes for providers. By highlighting these considerations, the committee thought that commissioners and providers should be able to develop ways to mitigate any reductions in uptake of non-incentivised vaccinations that are detected using local uptake data. These could involve reminding practitioners about the importance of other non-incentivised vaccinations.

How the recommendations might affect services

Raising awareness about funding streams and payments for providers is unlikely to need any additional resources because it could be done as part of existing communications between commissioners and providers. Because the funding streams already exist, no additional resources to provide funding would be needed.

Making commissioners aware of potential unintended consequences of prioritising certain vaccinations when using incentives is unlikely to need additional resources. This could be communicated to commissioners during the process of designing incentive schemes.

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Making vaccination services accessible and tailoring to local needs

[Recommendations 1.1.7 to 1.1.13](#)

1 **Why the committee made the recommendations**

2 Based on their experience and the qualitative evidence, the committee agreed that it
3 is important that commissioners and providers identify the needs of their local
4 communities because this will enable them to tailor their vaccination services to
5 address these needs. In areas of low uptake, targeted interventions may be needed,
6 such as allowing extra time for healthcare professionals to identify and contact
7 people eligible for vaccination. The committee did not state a specific threshold for
8 identifying areas of low vaccine uptake because this can vary between different
9 vaccines. Also, there may be some areas that have high vaccine uptake overall but
10 subpopulations with low uptake. Targeted interventions could also involve
11 developing ways for people to access vaccinations more easily, although the specific
12 interventions used will vary depending on the local area, the community and its
13 culture. The use of targeted interventions in areas of low uptake could potentially
14 reduce some of the barriers to vaccination and increase vaccine uptake. This could
15 also help to reduce inequalities between population groups and between areas of
16 higher and lower vaccine uptake.

17 Evidence showed that inconvenient times and locations for vaccinations were
18 barriers to uptake, and that providing alternative locations improved uptake.

19 The committee agreed that offering vaccination outside normal hours and having a
20 range of settings would increase the number of people who are able to attend and
21 access the services. However, they recognised that the specific needs will vary
22 between different populations and that services need to be tailored to meet these
23 needs. So they decided against recommending specific ways to increase access
24 because public health teams and providers would know best how to meet local
25 needs and understand local barriers to access. However, as part of this process,
26 care would need to be taken to ensure that expanding the range of settings did not
27 increase wastage of vaccines associated with unused stocks or lead to shortages of
28 vaccines in some settings due to under-ordering to avoid wastage. GP practices, for
29 example, would need to be able to plan their orders based on the numbers of eligible
30 people.

31 The committee also highlighted the importance of using input from the local
32 community when making decisions over the accessibility of services because

1 increased community engagement could help ensure that services meet local needs
2 and make it easier for people to be vaccinated.

3 The evidence identified a range of barriers to vaccine uptake for specific populations,
4 such as immigrants and the Traveller, Roma and Gypsy communities. This included
5 problems with registering with a GP practice, which makes it harder for people to be
6 identified as eligible and invited for vaccination, or for them to book vaccination
7 appointments. The committee were aware that some providers may ask for specific
8 information, such as immigration status and proof of address, at registration.
9 Therefore, they decided it was important to highlight that this type of information is
10 not required, and that primary care providers should ensure that their patient
11 registration systems follow the standards of best practice. This will remove
12 unnecessary barriers to accessing vaccination services.

13 **How the recommendations might affect services**

14 The ability to design services based on local needs will mean that providers can
15 address any barriers to vaccination specific to their communities, thereby providing
16 the opportunity to increase vaccine uptake and address inequalities in these areas.
17 The impact on practice will therefore vary between areas. If the targeted
18 interventions result in increased vaccine uptake, they are likely to also have time-
19 saving and cost-saving benefits in the longer term, such as reducing the workload
20 needed to identify, contact and vaccinate people who do not initially get vaccinated.

21 Identifying local population needs and tailoring hours and locations of vaccination
22 services to meet those needs is not expected to need significant additional
23 resources. This is already expected in current practice and these recommendations
24 are aimed at making this identification and tailoring of services more consistent
25 across the country.

26 Providing multiple opportunities and locations for more convenient vaccination is
27 likely to be associated with some additional resource use. However, some of the
28 costs are likely to be offset by the significant savings and other benefits from
29 avoiding outbreaks and their associated care costs, and saving practitioner time for
30 chasing up people who have missed vaccination. Increasing the opportunities for
31 vaccination may be particularly beneficial in some areas, such as rural areas, where

1 there may be fewer GP practices and pharmacies and a greater distance to travel to
2 access services. Although there may still be a cost associated with this
3 recommendation, it is expected to be small and the benefits of providing more
4 accessible vaccination locations are expected to outweigh the costs.

5 Out-of-hours or weekend services for vaccination would be associated with a
6 significant resource burden if provided on top of existing services solely for the
7 purpose of delivering vaccinations. Combining them with existing out-of-hours
8 provision will help to contain costs.

9 [Return to recommendations](#)

10 **Audit and feedback**

11 [Recommendations 1.1.14 to 1.1.16](#)

12 **Why the committee made the recommendations**

13 The evidence from studies on the effects of audit and feedback was inconclusive and
14 varied in quality due to limitations with the design of some studies. These studies
15 frequently included additional interventions such as provider education or bonuses,
16 which made the effects of audit and feedback harder to isolate. Some showed
17 increased vaccine uptake whereas in others, the studies could not detect a
18 difference in uptake between the interventions and control (usual care or another
19 non-vaccine related intervention). In particular, 1 study was identified that used a
20 multicomponent provider intervention that included audits and feedback with provider
21 reminders and education, and this showed greater vaccine uptake than usual care.
22 This study provided support for the use of multiple interventions including audit and
23 feedback to increase uptake. It also reflected the committee's experience of the
24 benefits to providers and healthcare practitioners of being aware of their current
25 vaccination activity and how it compares with other similar providers.

26 The committee recommended provider education and the use of alerts to facilitate
27 opportunistic vaccination by providers (see the rationales for [training and education
28 for health and social care practitioners](#) and [identifying people eligible for vaccination
29 and opportunistic vaccination](#)). They also agreed that feedback needs to be available
30 regularly to help providers keep track of their progress. In addition, if providers make

1 use of this data, it can help to develop practices for continuous improvement as well
2 as providing opportunities to share examples of good practice or effective
3 interventions with similar providers.

4 While the guideline was in development, many vaccination initiatives were
5 introduced that aimed to increase the uptake of COVID-19 vaccines or ensure the
6 continued and increased uptake of routine vaccinations during the pandemic. It was
7 too soon for these initiatives to be evaluated as part of the current guideline
8 development process because there is currently little evidence available relating to
9 the effectiveness of these new initiatives. The committee agreed that it was
10 important that these interventions (and others that may be introduced later in the
11 pandemic) be formally evaluated in future so that any effective interventions,
12 particularly those that raise vaccination rates in areas of low uptake, can be applied
13 to routine vaccination programmes.

14 **How the recommendations might affect services**

15 These recommendations are not expected to need significant additional resources.
16 Feedback and review is already current practice in some areas and the data on
17 vaccine uptake is already reported. There may be an administrative cost associated
18 with compiling these feedback reports, but this will be small.

19 Evaluating initiatives used to increase vaccine uptake during the coronavirus
20 pandemic is not expected to need significant additional resources because it is likely
21 that the data on vaccine uptake will already be collected, and any costs associated
22 with compiling this evidence are likely to be small. There is likely to be an
23 administrative cost associated with evaluating this evidence. But it is not expected to
24 be significant, and this evaluation is likely to be a one-off activity.

25 [Return to recommendations](#)

26 **Training and education for health and social care practitioners**

27 [Recommendations 1.1.17 to 1.1.19](#)

1 **Why the committee made the recommendations**

2 There was very limited evidence for the effect of provider education or information
3 alone on vaccine uptake. However, this intervention was a component of several
4 multicomponent studies that showed increased vaccine uptake. In particular, 1 study
5 of multicomponent provider interventions that included education for practitioners
6 showed an increase in vaccine uptake compared with usual care. Qualitative
7 evidence also highlighted how education can help healthcare practitioners feel
8 confident when discussing vaccination with people, and that some practitioners need
9 training in how to administer vaccines.

10 The committee acknowledged that Public Health England's core curriculum for
11 immunisation training for registered healthcare practitioners sets out content to be
12 covered by practitioners who are administering vaccinations. However, they agreed
13 that providers should be given the time to undertake this training and to revisit it as
14 part of their continuing professional development because a lack of support and
15 dedicated time could act as a barrier to completing it. In addition, the committee
16 highlighted the importance of providers being able to ask for and respond to people's
17 concerns, have effective vaccination conversations and provide tailored information
18 on benefits and harms to help overcome information-related barriers to uptake.

19 The committee also agreed that vaccine-related education is important for people,
20 such as staff in GP practices and those who work in social care, who do not give
21 vaccinations but are in contact with those eligible for it. Using their experience, the
22 committee agreed that these people need a basic knowledge of immunisation
23 practices and issues so that they can hold simple conversations about the benefits of
24 vaccination and are able to signpost people to relevant sources of more detailed
25 information. These recommendations are aimed at increasing staff confidence in
26 relation to vaccination, and at making every contact count to increase the
27 opportunities for people to discuss and receive vaccinations.

28 **How the recommendations might affect services**

29 These recommendations are not expected to need significant additional resources.
30 The lower intensity education for health and social care staff not directly involved in
31 administering vaccines would be likely to need some additional resources to compile

the information. However, the content is generally freely available, and the costs associated with delivering it could be contained by providing materials (such as a booklet or accessible webpage) rather than delivering education in person. Delivering education materials in this way is not expected to have a significant resource impact, even in heterogeneous groups such as social care practitioners whose education packages may not necessarily include information on vaccination.

Healthcare practitioners and social care providers who administer vaccinations already have to complete mandatory training. Ensuring that there is time and resources for this training and for including training as part of continuing professional development, is not expected to have a substantial impact because this is generally already current practice.

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Appointments and consultations

[Recommendation 1.1.20](#)

Why the committee made the recommendation

There are several stages in each vaccination appointment, including discussing any concerns that a person has about vaccination, gaining consent, administering vaccines and completing the necessary documentation. Despite this, vaccination appointments can be relatively short. The evidence highlighted that a lack of time during consultations can lead to rushed or incomplete discussions about vaccinations and thus be a barrier to uptake. The committee therefore decided that it was important to highlight each of the stages of a vaccination appointment and the need to allocate sufficient time for each one, although they were unable to say how long the appointment should be.

Providing sufficient time for appointments may help to improve vaccination rates for people who have concerns by allowing them time to discuss safety and other issues with a trained healthcare provider, and is likely to help providers accurately record vaccinations.

How the recommendation might affect services

This recommendation is not expected to have a substantial resource impact because although additional staff time can be costly, it is expected that only a relatively small proportion of people eligible for vaccination will need a longer appointment for the purposes of addressing specific concerns. Additionally, the activities that should be carried out during a vaccination appointment are already current practice, so it is not likely that the recommendations will result in longer appointments.

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Keeping records up to date

[Recommendations 1.2.1 to 1.2.5](#)

Why the committee made the recommendations

Based on their expertise and experience, the committee agreed that it was important to ensure that records at GP practices are accurate and up to date to help identify people eligible for vaccination. Vaccines administered by other providers need adding to GP records. The committee agreed that a 2-week time limit was a realistic timeframe for this work given competing demands for time in GP practices. GP practices can use the bulk transfers of information about children who are not up to date with their vaccinations provided by child health information services to help keep their records up to date. The GP practices can also use this information to facilitate their targeting of unvaccinated children for vaccination invitations and reminders.

The committee noted that discrepancies can occur between GP records and other sources of information, such as records from child health information service, pharmacies that provide vaccinations for older people and providers in any other settings. These can result in people not being identified as eligible for vaccination or being wrongly identified as eligible when they have already been vaccinated or have moved out of the area. Investigating and resolving any such discrepancies regularly should improve the identification and recording of eligibility and status. Using up-to-date clinical system templates should also help with accurate record keeping.

1 When a person has been identified as eligible for vaccination, it is important that their
2 GP practice is able to contact them easily to invite them to be vaccinated. Some of
3 the studies using invitations and reminders interventions reported issues with out-of-
4 date contact details or use of unsuitable types of reminders, such as text messages
5 for people who do not own a mobile phone. The qualitative evidence showed that an
6 inability to speak English relatively fluently or understand the spoken or written
7 language is a barrier to vaccine uptake for some people because it can make it
8 harder to register at a GP practice and book appointments, and to ask for or
9 understand information about vaccinations. In addition, low literacy levels can
10 prevent people from accessing written information and may occur with or without the
11 language barriers mentioned above. By making it clear whether a person has
12 specific language or literacy requirements, it is more likely that any communications
13 they receive will be in a language and format that they can understand.

14 **How the recommendations might affect practice**

15 The resource use associated with ensuring that patient contact details are up to date
16 is likely to be variable. For most of the population, it will be straightforward and there
17 will be no cost impact. But more intensive methods will be needed for some people,
18 such as those who have frequent changes of address or those who have no fixed
19 address. However, collecting contact information is not only necessary for vaccine
20 reminders but for various healthcare needs, so any resource impact would be shared
21 across these areas and have a broader benefit.

22 Regular validation of vaccination records against other sources by GP practices will
23 lead to an increase in workload initially. However, once the current records have
24 been checked, this workload would be expected to drop to a lower level because
25 fewer discrepancies would be found.

26 The other recommendations in this section are not expected to need significant
27 additional resources. Some small administrative costs may be incurred from
28 allocating time for these tasks, but the tasks themselves should already be being
29 done so should not need additional resources.

30 [Return to recommendations](#)

Identifying people eligible for vaccination and opportunistic vaccination

[Recommendations 1.2.6 to 1.2.14](#)

Why the committee made the recommendations

Based on their expertise and experience, the committee agreed that as well as inviting people for vaccination routinely (see the [recommendations on invitations, reminders and escalation of contact](#)), opportunistic identification and vaccination are important parts of an integrated strategy to increase vaccine uptake in the general population. This was supported by evidence showing that opportunistic vaccination in some settings increased vaccine uptake.

In the absence of specific evidence about how and where to opportunistically identify people eligible for routine vaccinations, the committee based their recommendation on [recommendation 1.3.1 in the NICE guideline on flu vaccination: increasing uptake](#). The committee added several settings, including those outside the healthcare system, and points of contact with the healthcare system where they agreed that people eligible for vaccination could be identified. They also included some specific groups that may need more specific approaches (such as people who misuse alcohol, are homeless, use drugs, are asylum seekers or in prisons). Because these people may not be in routine contact with the healthcare system, special consideration is needed to assess their eligibility for vaccination. The committee also noted that looked-after children and young people and those who are educated at home or outside mainstream schooling are particularly at risk of missing vaccinations. The list is not intended to be exhaustive.

The committee were aware of several barriers to opportunistic vaccination. For example, the lack of an integrated record-keeping system makes it hard for people eligible for vaccination to be identified. The committee agreed that if people can easily check their immunisation status, or that of their child or the person they care for using online systems such as digital apps, this would help them to stay up to date with their vaccinations. However, the committee were aware that routine vaccination records are not automatically available even when a person has signed up to the NHS app or has requested access to their GP records. People may need to contact

1 their GP practice to activate access to the vaccination records section of their GP
2 record, whereas ideally these would be available by default. The NHS app currently
3 shows COVID vaccinations and this functionality could be expanded to include
4 routine vaccination status.

5 NHS summary care records could also be used to identify people eligible for
6 vaccination. However, these records are not accessible to all healthcare practitioners
7 and cannot be checked by non-healthcare staff. In these cases, the committee
8 agreed that any other available vaccination record, such as patient-held records,
9 could be used for opportunistic identification.

10 There are additional issues with identification if people have uncertain vaccination
11 histories. For example, this could be because they have come from outside the UK
12 or they have moved around a lot within the UK. The committee were aware of the
13 Public Health England guidance on vaccinating people with uncertain or incomplete
14 immunisation status. It states that, unless there is a documented or reliable verbal
15 vaccine history, people should be assumed to be unimmunised and a full course of
16 immunisations planned. The committee agreed with this approach because
17 duplicating vaccinations is generally not harmful but remaining unvaccinated could
18 leave people open to infection.

19 The committee also noted that, in their experience, it can be more difficult to ensure
20 that people who are registered as temporary residents have their vaccination status
21 checked. It is important that GP practices have a mechanism in place to identify
22 these people and assess their eligibility for vaccination to ensure that they are not
23 overlooked.

24 The evidence showed that reminders to the provider in electronic medical records
25 were effective at increasing vaccine uptake. The committee therefore wanted to
26 highlight their use as prompts for opportunistic conversations about due and overdue
27 vaccinations. The provider could then offer immediate vaccination if possible.

28 There was no evidence on invitations or reminders specifically for pregnant women,
29 but the committee were confident that the evidence of the effectiveness of reminders
30 for the other age groups and life stages would also apply to this group (see the
31 [rationale section on initial invitations](#) for more details). The Green book recommends

1 pertussis vaccination for pregnant women between 16 and 32 weeks, so the
2 committee decided that it would be appropriate for midwives to opportunistically offer
3 and remind women of this vaccination during routine antenatal visits.

4 The evidence showed that opportunistic vaccination increased uptake and was
5 consistent with a [making every contact count](#) approach. Ideally, people eligible for
6 vaccination would be able to discuss their outstanding vaccinations and be offered
7 vaccination immediately. But the committee were aware that this may not be
8 possible in all healthcare settings and would not be possible in non-healthcare
9 settings, so alternative options are needed.

10 **How the recommendations might affect practice**

11 Using more opportunities to identify people eligible for vaccination may lead to an
12 increase in the numbers of people who are vaccinated on the spot or signposted to
13 vaccination services. Healthcare settings that are not normally involved in
14 vaccination may start to identify people eligible for vaccination and administer
15 vaccines. Vaccinations provided as part of the routine UK immunisation schedule
16 have already been assessed to be cost effective, and therefore increasing the
17 number of people vaccinated is also expected to be cost effective.

18 Using existing records to facilitate opportunistic vaccination is not expected to need
19 significant additional resources because the mechanisms for sharing and accessing
20 these records are already in place.

21 Opportunistic identification, offers and vaccinations are not expected to need
22 significant additional resources. Existing records can be used to check eligibility for
23 opportunistic vaccination, and mechanisms for sharing and accessing these records
24 are already in place. Opportunistic vaccination is not likely to incur additional
25 resources, because it would only be offered at venues where there is already
26 vaccine storage available and where practitioners are qualified to give vaccinations.

27 Where vaccinations cannot be given, practitioners would simply need to know what
28 local services to signpost people to or where people should book appointments to
29 discuss vaccination or be vaccinated.

1 Ensuring automatic access to electronic records is not expected to need additional
2 resources because the mechanisms for making these records available to patients
3 through the NHS app are already in place, for example, COVID-19 vaccination
4 status.

5 [Return to recommendations](#)

6 **Recording vaccination offers and administration**

7 [Recommendations 1.2.15 to 1.2.21](#)

8 **Why the committee made the recommendations**

9 The committee based these recommendations on information from the [NHS England](#)
10 [enhanced service specifications for GP contracts](#) covering pneumococcal, pertussis
11 and shingles vaccinations and committee expertise. All of these specifications
12 include a requirement to record vaccination offers, consent and details about the
13 vaccine, including batch and site of administration, and adverse reactions. The
14 committee included the dose of the vaccine, route and site of administration and
15 details of consent on the basis of information for public health professionals on
16 [immunisation in the Green book](#).

17 Recording when vaccinations have been declined should ensure that people are not
18 repeatedly offered unwanted vaccinations. Also recording the reason for the refusal
19 could provide information for future discussions to try to address why the person
20 declined vaccination and overcome any barriers. If this information is available at a
21 population level, this could help public health teams locally or nationally when
22 designing strategies to increase vaccine uptake by targeting key barriers for the
23 general population or specific subgroups. Recording a lack of response will enable
24 non-responders to be followed up.

25 The committee also agreed that updating patient-held records with information about
26 new vaccinations will ensure that people are aware of their vaccination status (or the
27 status of the people they care for) and are able to request or chase up vaccinations if
28 they wish to. Because some people may not have their vaccination record with them
29 at the time of vaccination, the committee thought it was important for a printout to be
30 provided as a temporary measure until the main record can be updated. However,

1 they agreed it was best to update the records when the vaccinations are
2 administered where possible because it could not be guaranteed that the record
3 would be updated accurately later.

4 The committee agreed that accurate and timely updating of clinical records after
5 vaccination is essential. One method to ensure accuracy and consistency of patient
6 records is the use of compulsory vaccination fields in electronic health records.
7 Providers also need to promptly report vaccinations to primary care, if the
8 vaccination is carried out elsewhere, and to child health information services (if
9 relevant). Child health information services can play an additional role in helping
10 ensure that GP-held vaccination records are up to date by regularly sending
11 information about new vaccinations to GP practices, if this service is included in their
12 local contract. The 2-week time limit was based on committee consensus regarding
13 a reasonable time period for this information to be relayed to the GP practice.
14 However, the child health information services specification or local contracts may
15 specify a different time period.

16 The committee noted that in some cases, the data supplied by other providers and
17 child health information services needs to be reformatted before it can be added to
18 patient records. This can be time consuming, therefore ensuring that the information
19 is supplied in a format that is clear and readily accessible will help the GP practice.

20 **How the recommendations might affect practice**

21 Recording offers and administration of vaccines is expected to be associated with
22 some administrative costs to set up and record this information, but these costs are
23 expected to be small. It should save staff time – and therefore future costs – when
24 following up people and processing information.

25 GP practices already update their records when vaccination notifications are
26 reported from other providers and having to do this within a certain timeframe is not
27 expected to lead to additional work. Providers already report information on
28 vaccinations to primary care and child health information services. If the information
29 is reported in a clear and readily accessible format, this may save GP practices time
30 in not having to chase up inaccessible or unclear reports.

1 The recommendations on what to record when vaccinations are carried out broadly
2 reflect current practice and the additional detail about vaccination offers is not
3 expected to take much additional time to record.

4 Using compulsory data fields in electronic health record templates is not expected to
5 need additional resources because this is already possible and is simple to
6 implement with current systems.

7 [Return to recommendations](#)

8 **Invitations, reminders and escalation of contact**

9 [Recommendations 1.3.1 to 1.3.6](#)

10 **Why the committee made the recommendations**

11 The committee agreed that several processes needed to be in place to ensure that
12 invitations and reminders were effective. They agreed that encouraging cooperation
13 between providers and the local healthcare system would avoid duplication of effort.
14 For example, the child health information services department could be contracted at
15 the local level to send out invitations for young children (primary and preschool) on
16 behalf of GP practices.

17 The qualitative evidence highlighted that some people (including some immigrants
18 and Travellers, Roma and Gypsies) experience language barriers, and some cannot
19 read or write in their own language. This can prevent them from accessing
20 information about vaccines and make it harder for them to navigate the UK
21 healthcare system to obtain vaccinations. Providing invitations and reminders in a
22 language and format that the person, their family member or carer (as appropriate)
23 can understand should help to increase vaccine uptake.

24 The qualitative evidence highlighted that some people from abroad had difficulties
25 registering with GP practices to access NHS services. Differences in vaccination
26 schedules between countries can also cause confusion. The committee therefore
27 agreed that giving people information about the UK vaccination schedule could help
28 them determine their eligibility for vaccination on the UK schedule. The committee
29 also recognised that information alone might be insufficient and that some people
30 might need help to understand the information and access healthcare.

1 The committee were also aware that the people who administer vaccinations can
2 vary between the UK and other countries, and this can make some people hesitant
3 about vaccination. Giving people from other countries information about who
4 administers vaccinations in the UK, and where this takes place, can reassure people
5 about what is standard practice and potentially remove 1 of the barriers to
6 vaccination.

7 The committee discussed how consent can be a barrier to vaccination for some
8 adults who need support with decision making or who may lack the mental capacity
9 to consent. Although there was no evidence for these populations, the committee
10 thought it was important to promote equality by ensuring that all people are given the
11 support necessary to make informed decisions on vaccination. They noted that the
12 [NICE guideline on decision making and mental capacity](#) provides clinicians with
13 guidance on what to consider when discussing consent for adult vaccinations.

14 The evidence showed that bundling flu and pneumococcal vaccination invitations
15 and reminders together was more cost effective than targeting pneumococcal
16 vaccination separately. The committee agreed that sending invitations and reminders
17 for different vaccinations together could be an effective way to increase vaccination
18 uptake and reduce the number of reminders and vaccination appointments needed
19 in some cases. However, they noted that this might not be clinically appropriate or
20 effective for all combinations of vaccinations.

21 **How the recommendations might affect practice**

22 These recommendations are not expected to need significant additional resources.
23 They are either easily incorporated into current practice, are required by law, or are
24 anticipated to have lower administration costs by combining services for multiple
25 vaccinations.

26 [Return to recommendations](#)

27 **Initial invitations**

28 [Recommendations 1.3.7 to 1.3.12](#)

1 **Why the committee made the recommendations**

2 The evidence showed that invitations or reminders were more effective than controls
3 (mainly usual care, the format of which varied between different studies) at
4 increasing vaccine uptake in all age groups (apart from pregnant women, see below)
5 that have routine vaccinations. Reminders of different types were better than usual
6 care at increasing vaccine uptake. However, in most cases the evidence did not
7 show whether particular types of invitations or reminders were more effective than
8 others. Evidence that did show a difference came from single trials with small
9 numbers of participants. Therefore, the committee agreed that a variety of methods
10 could be used to contact people eligible for vaccination, based on the evidence and
11 the 2019 GP contract. The committee agreed that 1 of the recipients' preferred
12 methods of contact should be used when sending out invitations and noted that
13 invitations given face-to-face in other appointments (opportunistic invitations) were
14 also likely to be effective.

15 There was no evidence on whether invitations were effective in increasing vaccine
16 uptake among pregnant women, but the committee agreed that the advice that
17 applies to invitations for the general population should apply for pregnant women.
18 Pregnant women have regular contact with their midwives, as well as other
19 healthcare professionals such as health visitors and GPs. Therefore, they could
20 receive in-person invitations, be signposted to vaccination services or offered
21 vaccination during these appointments.

22 The committee agreed that some people, such as people living in care homes or
23 other residential settings and those who are housebound, may be unable to attend
24 vaccination clinics or other settings where vaccinations are available and are
25 therefore at risk of remaining unvaccinated. The committee agreed that it is
26 important that these people or their family members or carers (as appropriate) can
27 arrange home visits for vaccination.

28 The qualitative evidence showed that healthcare providers who have built
29 relationships with people (or their parents or carers) are likely to be trusted and able
30 to positively influence the decision to vaccinate. However, not everyone has regular
31 contact with a particular provider, and medical records that would be used to
32 generate invitations may not show who a person has most contact with. The

committee were also aware that in some areas, standardised invitations from a more centralised service are used, which may be difficult to personalise. Therefore, the committee agreed that using the name of a provider or service that is known to the person in the invitation and any subsequent reminders might be useful.

There was some evidence that education or information slightly increased vaccine uptake compared with usual care or another control intervention when all the studies were analysed together. However, most of the individual studies did not show that these interventions were better. The qualitative evidence highlighted barriers to vaccination that could be addressed by providing information or education, but there was little detailed evidence to suggest how these barriers could be overcome successfully. Because of the limitations above and taking into account that educational interventions are more expensive and labour intensive than giving information, the committee recommended providing information instead. The committee agreed that it was helpful to provide this information with the invitations.

The committee were aware that the invitations may differ in size depending on their format and they therefore came up with a list of points the invitation should contain to be useful. They also recommended a second list of items to include if space allowed.

For items that should be included:

- The qualitative evidence showed that people did not necessarily link vaccinations to the prevention of specific diseases. For example, people did not always connect HPV (human papillomavirus) vaccination to the prevention of cervical cancer.
- The qualitative evidence showed that many people trusted the NHS and that people were more likely to accept recommendations to be vaccinated from healthcare practitioners that they trusted.
- Some people may not attend vaccination appointments if they have not had their questions answered in advance. Providing contact details should make arranging this discussion easier.
- The committee agreed that letting people know about drop-in clinics can help those who find it difficult to get to appointments. They also discussed how giving people hyperlinks to book directly could make it easier to book appointments.

- A reminder to bring any patient-held records enables providers to keep vaccination records up to date and means that people are aware of their current vaccination status.

For items that should be included if space allows:

- The qualitative evidence showed that some people underestimate the severity of certain diseases (for example, measles and shingles), and improved understanding of these issues may motivate people to have the vaccines.
- The committee agreed with the qualitative evidence that many people are worried about vaccine side effects and think they are being understated or hidden. Clearly explaining the benefits of vaccinations compared with the risk and severity of their side effects could help persuade people to have vaccines. Explaining individual and population benefits may help persuade people in under-vaccinated areas understand the additional benefits of vaccination to their communities. Studies show that many people did not understand the need for maternal pertussis vaccination to protect the baby during pregnancy and were worried about adverse effects during the baby's development.
- Many people do not finish vaccination courses and do not understand why they should have boosters, so the committee agreed that an explanation of these factors is important to help people be properly protected.
- Studies showed that people did not necessarily understand why HPV vaccination was offered to young people before they were likely to be sexually active. Therefore, giving information about why a vaccination is given at a particular age may help to increase uptake.
- The qualitative evidence showed that people wanted information about vaccines from reliable sources but were unsure where to look. Providing links to trusted sites could help answer any outstanding questions about vaccines or the vaccination process, and interactive tools could help with the decision-making process. In addition, evidence from 1 quantitative study showed an increase in pertussis vaccine uptake in pregnant women using an interactive tool compared with non-specific advice about vaccinations in general. The committee agreed that a variety of options would be best because, in their experience, different people

1 prefer different formats of information and not everyone has access to a smart
2 phone to be able to use QR codes.

- 3 • The qualitative evidence showed that people found attending a vaccination
4 appointment for the first time or during the COVID-19 pandemic could be a
5 stressful experience and that uncertainty about the process and safety was likely
6 to be a barrier to attendance. Explaining the process and any COVID-19 related
7 safety measures could remove this barrier.

8 **Research recommendations**

9 The committee were interested in whether certain methods of framing of information
10 within invitations would be more effective at encouraging vaccine uptake than others
11 (for example, gaining immunity to disease versus avoiding catching a disease). None
12 of the identified studies looked at this directly and so the committee wrote a [research
13 recommendation on framing content in vaccination invitation letters](#).

14 The committee noted that there was a shortage of evidence for interventions to
15 increase the uptake of routine vaccinations in pregnant women (pertussis
16 vaccination) and older people (shingles and pneumococcal vaccinations), with this
17 being particularly pronounced for the former group. The committee therefore made a
18 [research recommendation to try to stimulate more research about effective
19 interventions to increase pertussis vaccination uptake for pregnant women](#) and
20 another [research recommendation for older people](#).

21 Finally, the committee agreed that it is especially important to try to increase routine
22 vaccine uptake in groups, communities or populations with low uptake. They noted
23 that there was limited evidence for groups of particular interest: Travellers, Gypsy
24 and Roma; looked-after children and children not in mainstream education; migrants,
25 asylum seekers and religious groups; and that the evidence was mainly qualitative in
26 nature. Therefore, the committee included a [research recommendation to stimulate
27 research on effective interventions to increase uptake in these and other groups of
28 people with low routine vaccine uptake](#).

1 **How the recommendations might affect practice**

2 These recommendations are not expected to need significant additional resources.
3 The format and content of invitations, and who these invitations are addressed from,
4 are expected to be easily incorporated into the current approach to invitations.

5 Ensuring that people who live in care homes or residential settings, or who do not
6 leave the house (or their family members or carers) are aware of how to access
7 home visits for vaccination is unlikely to need substantial additional resources,
8 because access to home visits is already in the GP contract and is common practice
9 for people who are unable to attend clinics.

10 [Return to recommendations](#)

11 **Reminders and escalation of contact**

12 [Recommendations 1.3.13 to 1.3.19](#)

13 **Why the committee made the recommendations**

14 The committee agreed that it is important to identify people who do not respond to
15 invitations or do not attend scheduled clinics or vaccination appointments, because
16 these people may respond to a reminder. In addition, some people may not have up-
17 to-date contact details, for example, if they have moved house recently, so it is
18 important to check that they have received the invitation and reminder. This may
19 mean using another method of contact in some cases.

20 For pregnant women, the Green book recommends vaccination between 16 and
21 32 weeks of pregnancy. Therefore, reminders can be provided at antenatal
22 appointments after the 20-week scan or when they have contact with a GP or other
23 healthcare provider, such as health visitor.

24 For babies and young children whose parents or carers (as appropriate) have not
25 responded to the reminder, the committee agreed that the follow-up needs to occur
26 rapidly and needs a conversation. Delays may cause some parents to think it was
27 acceptable to defer vaccination. This could lead to them delaying subsequent
28 vaccinations, which would expose the child to a higher risk of getting the diseases
29 targeted by the vaccines. The time limits recommended were based on committee

consensus aimed at preventing delays. The limits were shortest for babies because they have vaccinations due at 2, 3 and 4 months old and it is important that these are carried out in a timely manner as discussed above. Reminders for older people are less time sensitive because they can be vaccinated for shingles and pneumonia over a period of several years.

There was qualitative evidence to show that if a person does not respond after being sent a reminder, an escalating system of contact can be effective in increasing uptake. The committee agreed that this approach matched their experience, and it was also supported by quantitative evidence from a study looking at an escalating reminders intervention that showed an increase in the number of people being vaccinated with the intervention compared with usual care.

The committee agreed that initial vaccine invitations and reminders should use methods – such as a text or email – that are not labour intensive or costly. For people who continue not to respond, escalating reminders may initially involve a phone call from a GP receptionist, then from the practice nurse and finally from the GP, until the person is vaccinated or declines vaccination. However, this approach could be resource intensive and the evidence did not show that using escalating reminders was more effective than other forms of reminders. Despite this, the committee agreed that these more intensive methods of contact represented an appropriate use of NHS resources because the group of people needing to be contacted in this manner is likely to be relatively small and to consist of people in groups or communities with lower vaccination rates.

An economic analysis of the cost effectiveness of direct conversations with parents and carers of babies and toddlers who are behind on their vaccinations showed that the average cost per additional person vaccinated when using a direct contact intervention was estimated to be lower than the fee for the service that GPs receive for delivering administering vaccines. On this basis, the committee agreed that the direct contact intervention would be a cost-effective use of resources. The committee also noted the very serious negative consequences of the diseases vaccinated against in babies and toddlers (and the high costs of treating those conditions), and were therefore confident that this would be an acceptable use of resources.

1 The committee agreed that when contact is made with a person who has not
2 responded to an invitation or reminder to be vaccinated, it is important to try to
3 understand the reasons behind the lack of response or delay in vaccination because
4 this could enable any barriers to vaccination to be addressed. For example, if the
5 person is concerned about vaccine safety and side effects, a conversation about this
6 at the time of contact or a consultation with a nurse or GP may be able to persuade
7 them to be vaccinated. In other cases, if access is a barrier to vaccination, then
8 telling the person about out-of-hours clinics and other settings for vaccination may
9 enable them or their children to be vaccinated.

10 The committee agreed that in some cases, a multidisciplinary approach could be
11 helpful in overcoming barriers to vaccination. People such as social workers and
12 health visitors may already be in direct contact with a person who has not responded
13 to vaccination invitations and reminders and may therefore have more opportunities
14 to discuss immunisation with them. Health visitors have multiple contacts with the
15 families of babies and young children under 2 years as part of the Healthy Child
16 Programme (2021). They could use these as opportunities to discuss, educate,
17 signpost and support families to access immunisations if they were made aware of
18 unvaccinated children. This information could be supplied by child health information
19 services directly to the health visitors, but there might need to be a local agreement
20 for health visitors to take on this work.

21 Evidence showed that providing vaccinations at home increased uptake compared
22 with usual care. However, the committee were aware that home visits would be
23 costly so they should be reserved for people who are unable to travel to vaccination
24 clinics, appointments or other settings where vaccinations are available. Using these
25 restrictions should ensure that the proportion of the population who would need
26 home visits would be small because they would be offered only when all other routes
27 to vaccination have been exhausted. This recommendation should help ensure that
28 people who are housebound, for example, are vaccinated and improve access for
29 other underserved populations, thus reducing inequalities.

30 The committee agreed that it was important to record when people declined to be
31 vaccinated so they were not offered vaccinations repeatedly, because this can be
32 annoying and a waste of resources. However, they recognised that people can

1 change their minds so they wanted to make them aware that the offer of vaccination
2 remains open if they wanted to take it up in the future.

3 **How the recommendations might affect practice**

4 Direct conversations with parents and carers of babies and toddlers who are behind
5 on their vaccinations are likely to have additional costs for staff time.

6 Identifying and providing additional reminders or offers of pertussis vaccination to
7 pregnant women not already immunised is not expected to need additional
8 resources, because these reminders can be given at existing antenatal
9 appointments, and midwives already have a patient record in which vaccination
10 status can be checked.

11 Escalation of contact is likely to need additional resources because it is generally
12 associated with more intensive tasks that need more staff time.

13 Home vaccination visits would be associated with considerable additional resource
14 use but the proportion of the population who would need them would be small
15 because home visits would be offered only when all other routes to vaccination have
16 been exhausted.

17 [Return to recommendations](#)

18 **People who are not registered with a GP practice**

19 [Recommendations 1.3.20 to 1.3.22](#)

20 **Why the committee made the recommendations**

21 The committee were aware that some people such as some Travellers, immigrants
22 and asylum seekers are not registered with a GP practice and so will not receive
23 vaccination invitations or reminders unless a different approach is taken to identify
24 them. This is also reflected in the qualitative evidence, which showed that some
25 Travellers and immigrants have difficulty registering with a GP practice and
26 accessing healthcare from the NHS. The committee agreed that unless these people
27 are made aware that they are eligible for NHS vaccinations and given help to access
28 them, they are unlikely to be vaccinated. The committee agreed that local authorities,

1 health visitors or community involvement could help to ensure that these people are
2 not overlooked for vaccinations.

3 Children who are not registered with a GP practice may still be registered with child
4 health information services (CHIS). In these cases, where they are commissioned to,
5 CHIS can send invitations to parents or supply this information to providers directly.
6 CHIS can also include a message to encourage the parent or carer (as appropriate)
7 to register the child with a GP practice. However, it is likely that some children will
8 not be registered with either service and will need to be identified using alternative
9 approaches as detailed in recommendation 1.3.20.

10 **How the recommendations might affect practice**

11 Involving local authorities, health visitors or the community or voluntary sector in
12 identifying people not registered with a GP practice and ensuring they have
13 opportunities to access vaccination may have an impact on resource use, but the
14 committee considered this to be an appropriate use of NHS resources. Outbreaks of
15 vaccine-preventable diseases are very costly and have significant health
16 consequences for the population, so it is worth the additional effort of identifying and
17 vaccinating people not registered with a GP practice. Identifying people not
18 registered with a GP practice is not only necessary for vaccination but for various
19 healthcare needs, so any resource impact would be shared across these areas and
20 have a broader benefit.

21 Raising awareness about eligibility and how to access vaccination for people not
22 registered with a GP practice is not expected to need additional resources. It is
23 current practice to provide leaflets to new migrants about what vaccines are on the
24 UK vaccination schedule, and where and how to access these. This information
25 already exists and would be simple to pass on to people not registered with a GP
26 practice once they have been identified.

27 Ensuring that invitations are sent to parents or carers of children not registered with
28 a GP practice is not expected to need significant additional resources because child
29 health information services already have a register of children, whether they are
30 registered with a GP practice or not, and this information can be passed on to those
31 sending out invitations for vaccination.

1 [Return to recommendations](#)

2 **Vaccinations for school-aged children and young people**

3 [Recommendations 1.3.23 to 1.3.35](#)

4 **Why the committee made the recommendations**

5 The committee agreed, based on their experience, that vaccinating school-aged
6 children and young people at school was the most efficient and convenient way to
7 vaccinate this population. But they recognised that this may not be possible in all
8 cases because not all school-aged children and young people attend school.

9 **Routine vaccinations at school**

10 The committee agreed, based on their experience, that although vaccination
11 programmes for school-aged children and young people are unique enough to need
12 a separate set of recommendations, the main steps of the process are the same as
13 for the other age groups and life stages. They all involve an initial invitation for
14 vaccination, a reminder and then an escalation of contact for people who do not
15 respond. However, the invitations are sent by schools on behalf of the vaccination
16 providers. The qualitative evidence highlighted logistical barriers that providers face
17 with running vaccination sessions in schools and that these could be overcome with
18 support from the schools involved. However, they noted that schools do not always
19 prioritise vaccinations and that it is very important that providers have a good
20 relationship with the school to facilitate sending invitations to eligible pupils and
21 running the school-based vaccination sessions.

22 The evidence for young people aged 11 to 18 years eligible for HPV vaccination
23 consistently highlighted that young people want to be involved in discussions about
24 vaccination. The committee therefore agreed that information provided about the
25 vaccinations needs to be aimed at both the parents or carers (as appropriate) and
26 the young people themselves. The general contents of the information would be the
27 same as for vaccinations for young children and adults, but tailored to the relevant
28 vaccinations for this age group. Although not discussed in the evidence, the
29 committee decided that it was important for the information to also cover Gillick
30 competence so that both parents and young people are fully aware of all the options
31 for vaccine consent. They also agreed that sending the invitation for vaccination to

1 the young people and secondary school-aged children as well as to the parents or
2 carers would help them be involved in the process.

3 The committee agreed that school-based education is a key method of ensuring that
4 children and young people understand the importance of vaccinations and can ask
5 questions about their concerns. This was mentioned in the qualitative evidence as
6 1 of the acceptable methods of giving young people information about vaccinations
7 and is already standard practice in some schools. They agreed that this education
8 should be age appropriate.

9 The committee agreed that 1 of the main barriers to school vaccinations is the low
10 rate of return of consent forms. This means that school immunisation teams are
11 unaware of whether parents or carers consent to their child being vaccinated and
12 they have to spend time chasing up people who do not respond. One study indicated
13 that a programme that incentivises the return of consent forms could increase the
14 number of forms returned, and that most of these consent forms were about
15 vaccination acceptance. The committee agreed that in their experience, for school-
16 based vaccinations, a positive consent form would lead to vaccination and therefore
17 that this intervention was likely to increase the number of children and young people
18 who are vaccinated. In addition, although some incentives, such as prize draws, will
19 have an associated cost, this is expected to be offset by a reduction in the time and
20 costs of nurses having to contact parents and carers of children and young people
21 who have not returned their consent form.

22 The committee discussed the acceptability of incentivising other parts of the
23 vaccination process. However, they decided that incentivising consent form return
24 rather than vaccination is likely to be more acceptable, because it is encouraging
25 decision making rather than the vaccination itself. There was some concern over the
26 ethics and effectiveness of the financial incentive used in the study because in some
27 communities, such as faith schools, a money-based incentive could be perceived as
28 gambling and be inappropriate and ineffective. As a result, the committee did not
29 specify the exact type of incentive in the recommendation so that local providers can
30 make their own decisions on what is most appropriate for their local community.

1 The committee agreed that a reminder should be sent out in cases where the
2 consent form has not been returned. However, even with invitations and standard
3 reminders, there will still be some young people who do not return a consent form
4 and a more direct method of contact (a phone call) can be made before vaccination
5 day or even on vaccination day if there is time. The committee discussed other ways
6 to encourage families to return consent forms and thought that contact from other
7 health and social care providers who already know the family, such as school
8 nurses, could be helpful.

9 In addition, the committee noted that catch-up sessions would ensure that children
10 and young people who are not up to date with their vaccinations have other
11 opportunities to be vaccinated. These sessions are currently limited to children and
12 young people who have missed school-based vaccinations, but they could be
13 expanded to provide opportunities to catch up on earlier preschool vaccinations.
14 There was a shortage of evidence for catch-up campaigns, with only a single study
15 identified that provided results in favour of school-based catch-up sessions over
16 referring pupils to GP practices in the UK. The committee took this evidence into
17 account and used their clinical experience of the importance of catch-up sessions to
18 make a recommendation on this topic. However, they also included a [research](#)
19 [recommendation on school- versus GP-based catch-up campaigns](#) to increase the
20 evidence base and to examine the acceptability of catch-up sessions in these
21 settings. To help with identifying these children and young people, child health
22 information services can provide vaccination histories to providers.

23 The committee agreed that it was important to highlight that young people under 16
24 may be able to consent to their own vaccinations if they are assessed to have the
25 competence and understanding to appreciate what it involves. These young people
26 are said to be Gillick competent.

27 The assessment of Gillick competence, and when it was appropriate for young
28 people to be assessed for competence and allowed to consent to vaccination for
29 themselves, was a key discussion point. The committee decided that if the consent
30 form had not been returned, and it was not possible to contact parents or carers,
31 young people should be assessed for Gillick competence. They also agreed that
32 young people whose parents or carers had refused consent should be given the

1 opportunity to be assessed for competence. They recognised that this assessment
2 might be difficult to carry out on vaccination day itself because of the potentially large
3 numbers of young people involved. However, there may be more capacity to carry
4 out these assessments before catch-up vaccination sessions. Committee
5 discussions also highlighted the need for school immunisation teams to feel
6 supported if they are assessing for Gillick competence; in particular when young
7 peoples' wishes differ from that of their parents or carers. Therefore, they thought it
8 important for providers to have policies to support local teams with these decisions.

9 **Additional research recommendations**

10 The committee made several research recommendations that were linked to school-
11 based vaccinations or that came out of discussions relating to school-based
12 vaccinations. Although the committee made a recommendation for incentivising
13 consent form return for school-based vaccinations, this was based on evidence for a
14 financial incentive for consent form return. It was unclear whether non-financial
15 incentives would also be effective in this setting and what levels of financial or non-
16 financial incentives would be effective. The committee wrote a [research](#)
17 [recommendation on incentives for school-based vaccinations](#). They were also
18 interested in whether incentives would be effective and acceptable for other age
19 groups or life stages and so they wrote a similar [research recommendation on](#)
20 [incentives aimed at individuals, family members and carers](#).

21 Another potential method of increasing vaccine uptake in school-based children and
22 young people and the wider populations is using mandates. The evidence looked at
23 mandating vaccinations or education to allow access to schools in the US. However,
24 very few studies were identified that looked at the effectiveness of mandation, and
25 the qualitative evidence about acceptability was mixed. The committee therefore
26 made a [research recommendation on quasi-mandation of vaccinations](#).

27 There was limited quantitative and qualitative evidence for HPV vaccination in boys
28 because routine HPV vaccination for boys has only recently been introduced in many
29 countries, including the UK and US. The committee agreed that it is important to
30 understand whether similar barriers and facilitators apply to HPV vaccination for
31 boys as for girls and whether the same interventions are effective for them. They
32 made a [research recommendation on HPV vaccination for boys](#) to reflect this.

1 Finally, the committee discussed whether using the World Health Organization
2 'Tailoring Immunisation Programmes' approach would be an effective way of
3 designing interventions to increase vaccine uptake in a UK context. Some qualitative
4 evidence was identified that used this approach, but it was unclear if it had been
5 used to help design any of the interventions included in this guideline. The
6 committee made a [research recommendation on Tailoring Immunisation](#)
7 [Programmes](#).

8 **Children and young people who do not attend mainstream schools**

9 The committee were aware that not all children and young people attend mainstream
10 schools, such as those who are home educated, chronically unwell, have local
11 authority tutoring, are in faith or independent schools that do not routinely hold
12 vaccination sessions, or those in young offender institutions. These children and
13 young people could be at risk of not being vaccinated but it was unclear to the
14 committee how they could be identified effectively using the current system. They
15 therefore agreed that it would be best for commissioners of the vaccination services
16 for school-aged children to ensure that systems are put in place to identify and
17 vaccinate these people.

18 **How the recommendations might affect practice**

19 These strategies are already current practice in most schools, and are unlikely to
20 have a resource impact. Offering one-off vaccination days to vaccinate children at
21 school is likely to be less resource intensive than contacting and booking
22 appointments for children individually in other settings.

23 **Routine vaccinations at school**

24 Invitations and reminders for routinely offered school-based vaccination programmes
25 are not expected to have a substantial resource impact, because the recommended
26 activities are current practice in most schools that provide mass vaccination days.
27 Providing a specification for the approach to these reminders is unlikely to have
28 resource implications.

29 Ensuring school-based vaccination education is accessible to children and young
30 people is not expected to have a substantial resource impact, because this

1 information is readily available and could simply be distributed to children and young
2 people during school hours.

3 If more providers offer an incentive for returning consent forms, this is likely to
4 increase the number of forms returned, which may lead to an increase in vaccine
5 uptake. Although some incentives, such as prize draws, will have an associated cost,
6 this is expected to be offset by a reduction in the time and costs of nurses having to
7 contact parents and carers of children and young people who have not returned their
8 consent form. The NHS already uses incentives (such as prize draws) to obtain
9 feedback for certain initiatives, so this is not a completely new approach.

10 Involving other health and social care providers that are in contact with the family to
11 help gain consent where contact cannot be made through the school is not expected
12 to need significant additional resources, because this is likely to be for a smaller
13 group, and those people should already be in contact with the family.

14 Putting policies in place for assessing Gillick competence may increase the
15 vaccination team's confidence in performing the assessment, thereby increasing the
16 number of young people who are assessed for competence and allowed to consent
17 to their own vaccination. This will help to reduce 1 of the barriers to vaccination and
18 potentially increase vaccine uptake in this group.

19 Child health information services already hold vaccination records of children and
20 young people, so identifying those who are not up to date with pre-school
21 vaccinations and informing the school nursing teams is not expected to need
22 significant additional resources.

23 **Children and young people who do not attend mainstream schools**

24 This is not expected to need significant additional resources because local
25 authorities already have a duty to know which children and young people do not
26 attend mainstream schools and they have contact details for their parents or carers.
27 Local authorities could therefore contact these people on behalf of vaccination
28 providers to arrange vaccination in a suitable setting.

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Context

Vaccinations provide personal and population-level protection against many diseases. High vaccine uptake rates create population-level protection, leading to herd immunity. This protects both immunised and non-immunised people. Examples of non-immunised people include those who are highly susceptible to disease such as newborn babies and older people, and people who cannot be vaccinated for medical reasons or for whom vaccines are contraindicated. In contrast, vaccines for some diseases such as shingles only protect those who receive them and provide minimal indirect protection to other people.

The UK routine vaccination schedule covers key vaccinations for different stages in life including childhood, adolescence, pregnancy and old age (currently 65 years and older). Although vaccination levels in general in the UK are relatively high, levels of uptake vary between vaccines and the age groups they are targeted at. For example, 5-in-1 coverage of children measured at 5 years was 95.2% in 2019/2020, whereas 83.9% of Year 9 girls completed the 2-dose HPV (human papillomavirus) vaccination course in 2018/19. By contrast, from April 2018 to March 2019, shingles vaccine uptake for the 70-year-old routine cohort was only 31.9%, pneumococcal vaccine uptake for all people aged 65 and over was 69.2% and pertussis vaccine coverage in pregnant women was 68.8%.

Vaccination rates need to be actively maintained, and ideally increased, in the face of increasing vaccine scepticism and misinformation. In addition, certain population groups (such as Gypsy, Roma and Travellers, refugees and asylum seekers) have lower levels of vaccination than the general public. Additional or different actions may be needed to increase their vaccination rates.

Reasons for low uptake may include poor access to healthcare services; inaccurate claims about safety and effectiveness, which can lead to increased concerns and a reduction in the perceived need for vaccines; and insufficient capacity in the healthcare system to provide vaccinations. In addition, problems with the recording of vaccination status and poor identification of people who are eligible to be vaccinated may have contributed to low uptake.

1 **Finding more information and committee details**

2 To find NICE guidance on related topics, including guidance in development, see the
3 [NICE webpage on immunisation](#).

4 For details of the guideline committee, see the [committee member list](#).

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